THE NEW Art and Science of Classroom Assessment

ROBERT J. MARZANO  JENNIFER S. NORFORD  MIKE RUYLE
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A joint publication

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Preface

This book is part of a series of books that focus on the *The New Art and Science of Teaching* framework (Marzano, 2017). That framework presents current research, theory, and practice regarding classroom pedagogy across various subject areas such as writing, reading, mathematics, and science within three general categories: content, context, and feedback.

A major aspect of effective classroom pedagogy is assessment. More specifically, within *The New Art and Science of Teaching* framework, classroom assessment falls within the general category of feedback. By its very nature, assessment is a form of feedback to students, teachers, and parents. As such, effective classroom assessment must go well beyond traditional uses which simply assign scores to students on specific tests and then average all those scores to compute a grade for an individual student. Rather, classroom assessment must be viewed from a new paradigm that allows it to be used to its full potential as a tool for feedback. That is precisely what this book, *The New Art and Science of Classroom Assessment*, is designed to do.

Starting in the first chapter, the book challenges many assumptions on which traditional classroom assessment practices are based. These challenges are designed to expose the sometimes illogical assumptions and lack of utility of many current assessment practices based on the traditional paradigm of assessment, such as averaging scores from all assessments to come up with an overall grade. However, the central purpose of the book is not to cause havoc with current classroom assessment practices, but rather to improve and augment those practices with techniques that result in more precise information about students’ status and growth on specific topics.

One major theme in the book is that effective assessment begins with clarity regarding the content that will be the focus of instruction and assessment. To this end, we strongly recommend the use of proficiency scales to define specific learning goals (also known as learning targets) and various levels of proficiency relative to those goals. Another theme in the book is that classroom teachers should never rely on a single assessment to determine a student’s status at any point in time. Rather, teachers should consider the pattern of scores on specific topics for individual students across multiple assessments. A third theme is that teachers should expand what they consider to be viable forms of assessments. Indeed, we make the case that anything a teacher does that provides information about a particular student’s status relative to a particular topic should be considered an assessment. The traditional test, then, is one form of assessment among many other forms including observations, conversations with students, short written responses, and student-generated assessments. In effect, teachers should test less (use pencil-and-paper tests less) but assess more (use a variety of
ways to collect assessment information). Still another theme is that the process of assessment should be intimately tied to the process of instruction. Finally, assessment should be experienced by students as one of the most useful tools they have to increase their learning.

This book is not your ordinary classroom assessment textbook. We recommend that teams of teachers use it to systematically examine and change their assessment practices. We firmly believe that adherence to the suggestions and principles articulated in this book will create a paradigm shift in classroom assessment whose time has definitely come.
The New Paradigm for Classroom Assessment

This book is about a paradigm shift in the way teachers use and interpret assessments in the classroom. It is also about increasing the rigor and utility of classroom assessments to a point where educators view them as a vital part of a system of assessments that they can use to judge the status and growth of individual students. This is a critical point. If we are to assess students in the most accurate and useful ways, then we must think in terms of merging the information from classroom assessments with other types of assessments. Figure I.1 shows the complete system of assessments a school should use.

<table>
<thead>
<tr>
<th>Classroom Assessments</th>
<th>Standards</th>
</tr>
</thead>
<tbody>
<tr>
<td>Interim Assessments</td>
<td></td>
</tr>
<tr>
<td>Year-End Assessments</td>
<td></td>
</tr>
</tbody>
</table>


Figure I.1: The three systems of assessment.

Perhaps the most visible of the three types of assessments in figure I.1 is year-end assessments. M. Christine Schneider, Karla L. Egan, and Marc W. Julian (2013) describe year-end assessments as follows:

States administer year-end assessments to gauge how well schools and districts are performing with respect to the state standards. These tests are broad in scope because test content is cumulative and sampled across the state-level content standards to support inferences regarding how much a student can do in relation to all of the state standards. Simply stated, these are summative tests. The term year-end assessment can be a misnomer because these assessments are sometimes administered toward the end of the year, usually March or April and sometimes during the first semester of the school year. (p. 59)
The next level of assessment in the model in figure I.1 is interim assessments. Schneider and colleagues (2013) describe them as follows: “Interim assessments (sometimes referred to as benchmark assessments) are standardized, periodic assessments of students throughout a school year or subject course” (p. 58).

Professional test makers typically design both types of assessments, and they include the psychometric properties educators associate with high reliability and validity, as defined in large-scale assessment theory. As its name indicates, large-scale assessment theory focuses on tests that are administered to large groups of students like year-end state tests. As we indicate in figure I.1, the most frequent type of assessment is classroom assessment. Unfortunately, some educators assume they can’t use classroom assessments to make decisions about individual students because the assessments do not exhibit the same psychometric properties as the externally designed assessments. While this observation has intuitive appeal, it is actually misleading; in this book we assert that classroom assessments can actually be more precise than external assessments when it comes to examining the performance of individual students.

This chapter outlines the facts supporting our position. In the remaining chapters, we fill in the details about how educators can design and use classroom assessments to fulfill their considerable promise.

It is important to remember that all three types of assessment we depict in figure I.1 have important roles in the overall process of assessing students. To be clear, we are not arguing that educators should discontinue or discount year-end and interim assessments in lieu of classroom assessments. We are asserting that of the three types of assessment, classroom assessments should be the most important source of information regarding the status and growth of individual students.

We begin by discussing the precision of externally designed assessments.

**The Precision of Externally Designed Assessments**

Externally designed assessments, like year-end and interim assessments, typically follow the tenets of classical test theory (CTT), which dates back at least to the early 1900s (see Thorndike, 1904). At its core, CTT proposes that all assessments contain a certain degree of error, as the following equation shows.

\[
\text{Observed Score} = \text{True Score} + \text{Error Score}
\]

This equation indicates that the score a test taker receives (the observed score) on any type of assessment comprises two components—a true component and an error component. The true component (the true score) is what a test taker would receive under ideal conditions—the test is perfectly designed and the situation in which students take the test is optimal. The error component (the error score) is also a part of the observed score. This component represents factors that can artificially inflate or deflate the observed score. For example, the test taker might guess correctly on a number of items that would artificially inflate the observed score, or the test taker might misinterpret a few items for which he or she actually knows the correct answers, which would artificially deflate the observed score.

Probably the most important aspect of error is that it makes observed scores imprecise to at least some degree. Stated differently, the scores that any assessment generates will always contain some amount of error. Test makers report the amount of error one can expect in the scores on a specific test as a reliability coefficient. Such coefficients range from a low of 0.00 to a high of 1.00. A reliability of 0.00 indicates that the scores that a specific assessment produces are nothing but error. If students took the same test again sometime after they had completed it the first time, they would receive completely different scores. A reliability of 1.00 indicates that scores on the test are perfectly accurate for each student. This means that the scores contain no errors. If students took the same test right after they had completed it the first time, they would receive precisely
the same scores. Fortunately, no externally designed assessments have a reliability of 0.00. Unfortunately, no externally designed assessments have a reliability of 1.00—simply because it is impossible to construct such a test.

Most externally designed assessments have reliabilities of about 0.85 or higher. Unfortunately, even with a relatively high reliability, the information a test provides about individuals has a great deal of error in it, as figure I.2 shows.

<table>
<thead>
<tr>
<th>Reliability Coefficient</th>
<th>Observed Score on Assessment</th>
<th>Lower Limit</th>
<th>Upper Limit</th>
<th>Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.85</td>
<td>70</td>
<td>59</td>
<td>81</td>
<td>22</td>
</tr>
<tr>
<td>0.75</td>
<td>70</td>
<td>55</td>
<td>85</td>
<td>30</td>
</tr>
<tr>
<td>0.65</td>
<td>70</td>
<td>53</td>
<td>87</td>
<td>34</td>
</tr>
<tr>
<td>0.55</td>
<td>70</td>
<td>50</td>
<td>90</td>
<td>40</td>
</tr>
<tr>
<td>0.45</td>
<td>70</td>
<td>48</td>
<td>92</td>
<td>44</td>
</tr>
</tbody>
</table>

Note: The standard deviation of this test was 15, and the upper and lower limits have been rounded.

Figure I.2: Reliabilities and 95 percent confidence intervals.

Figure I.2 depicts the degree of precision of individual students’ scores across five levels of reliability: 0.45, 0.55, 0.65, 0.75, and 0.85. These levels represent the range of reliabilities one can expect for assessments students will see in K–12 classrooms. At the low end are assessments with reliabilities of 0.45. These might be hastily designed assessments that teachers create. At the high end are externally designed assessments with reliabilities of 0.85 or even higher. The second column represents the observed score, which is 70 in all situations. The third and fourth columns represent the lower limit and upper limit of a band of scores into which we can be 95 percent sure that the true score falls. The range represents the size of the 95 percent confidence interval.

The pattern of scores in figure I.2 indicates that as reliability goes down, one has less and less confidence in the accuracy of the observed score for an individual student. For example, if the reliability of an assessment is 0.85, we can be 95 percent sure that the student’s true score is somewhere between eleven points lower than the observed score and eleven points higher than the observed score, for a range of twenty-two points. However, if the reliability of an assessment is 0.55, we can be 95 percent sure that the true score is anywhere between twenty points lower than the observed score and twenty points higher than the observed score.

These facts have massive implications for how we design and interpret assessments. Consider the practice of using one test to determine if a student is competent in a specific topic. If the test has a reliability of 0.85, an individual student’s true score could be eleven points higher or lower than the observed score. If the test has a reliability of 0.55, an individual student’s true score could be twenty points higher or lower than the observed score. Making the situation worse, in both cases we are only 95 percent sure the true score is within the identified lower and upper limits. We cannot overstate the importance of this point. All too often and in the name of summative assessment, teachers use a single test to determine if a student is proficient in a specific topic. If a student’s observed score is equal to or greater than a set cut score, teachers consider the
student to be proficient. If a student’s score is below the set cut score, even by a single point, teachers consider the student not to be proficient.

Examining figure I.2 commonly prompts the question, Why are assessments so imprecise regarding the scores for individual students even if they have relatively high reliabilities? The answer to this question is simple. Test makers designed and developed CTT with the purpose of scoring groups of students as opposed to scoring individual students. Reliability coefficients, then, tell us how similar or different groups of scores would be if students retook a test. They cannot tell us about the variation in scores for individuals. Lee J. Cronbach (the creator of coefficient alpha, one of the most popular reliability indices) and his colleague Richard J. Shavelson (2004) strongly emphasize this point when they refer to reliability coefficients as “crude devices” (p. 394) that really don’t tell us much about individual test takers.

To illustrate what reliability coefficients tell us, consider figure I.3.

<table>
<thead>
<tr>
<th></th>
<th>Initial Administration</th>
<th>Second Administration (A)</th>
<th>Second Administration (B)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Student 1</td>
<td>97</td>
<td>98</td>
<td>82</td>
</tr>
<tr>
<td>Student 2</td>
<td>92</td>
<td>90</td>
<td>84</td>
</tr>
<tr>
<td>Student 3</td>
<td>86</td>
<td>80</td>
<td>79</td>
</tr>
<tr>
<td>Student 4</td>
<td>83</td>
<td>83</td>
<td>72</td>
</tr>
<tr>
<td>Student 5</td>
<td>81</td>
<td>79</td>
<td>66</td>
</tr>
<tr>
<td>Student 6</td>
<td>80</td>
<td>83</td>
<td>70</td>
</tr>
<tr>
<td>Student 7</td>
<td>78</td>
<td>78</td>
<td>66</td>
</tr>
<tr>
<td>Student 8</td>
<td>77</td>
<td>74</td>
<td>55</td>
</tr>
<tr>
<td>Student 9</td>
<td>70</td>
<td>68</td>
<td>88</td>
</tr>
<tr>
<td>Student 10</td>
<td>65</td>
<td>68</td>
<td>78</td>
</tr>
<tr>
<td>Correlation With Initial Administration</td>
<td>0.96</td>
<td>0.32</td>
<td></td>
</tr>
</tbody>
</table>


**Figure I.3: Three administrations of the same test.**

Figure I.3 illustrates precisely what a traditional reliability coefficient means. The first column, Initial Administration, reports the scores of ten students on a specific test. The second column, Second Administration (A), represents the scores from the same students after they have taken the test again. But before students took the test the second time, they forgot that they had taken it the first time, so the items appear new to them. While this cannot occur in real life and seems like a preposterous notion, it is, in fact, a basic assumption underlying the reliability coefficient. As Cronbach and Shavelson (2004) note:

If, hypothetically, we could apply the instrument twice and on the second occasion have the person unchanged and without memory of his first experience, then the consistency of the two identical measurements would indicate the uncertainty due to measurement error. (p. 394)

The traditional reliability coefficient simply tells how similar the score set is between the first and second test administrations. In figure I.3, the scores on the first administration and the second administration (A) are quite similar. Student 1 receives a 97 on the first administration and a 98 on the second administration; student 2 receives a 92 and a 90 respectively, and so on. There were some differences in scores but not much.
The last row of the table shows the correlation between the initial administration and the second administration. That correlation (0.96) is, in fact, the reliability coefficient, and it is quite high.

But let’s now consider another scenario, as we depict in the last column of figure I.3, Second Administration (B). In this scenario, students receive very different scores on the second administration. Student 1 receives a score of 97 on the first administration and a score of 82 on the second; student 2 receives a 92 and 84 respectively. If the second administration of the test produces a vastly different pattern of scores, we would expect the correlation between the two administrations (or the reliability coefficient) to be quite low, which it is. The last row of the table indicates that the reliability coefficient is 0.32.

So how can educators obtain precise scores for individual students using classroom assessments? The answer to this question is that they can design multiple assessments and administer them over time.

**Multiple Assessments**

The preceding discussion indicates that as long as we think of tests as independent events, the scores from which educators must interpret in isolation, there is little hope for precision at the individual student level. However, if one changes the perspective from a single assessment to multiple assessments administered and interpreted over time, then it becomes not only possible but relatively straightforward to generate a relatively precise summary score for individuals. Multiple assessments are designed in specific ways and referred to as parallel assessments, which we discuss in chapter 2 (page 25).

To illustrate, consider the following five scores for an individual student on a specific topic gathered over the course of a grading period.

70, 72, 75, 77, 81

We have already discussed that any one of these scores in isolation probably does not provide a great deal of accuracy. Recall from figure I.2 (page 3) that even if all test reliabilities were 0.85, we would have to add and subtract about eleven points to compute an interval score into which we are 95 percent sure the true score actually falls. But if we consider the pattern of these scores, we can have a relatively high degree of confidence in the scores, particularly as more time passes and we collect more scores.

This pattern is clear that over time, the student’s scores have been gradually increasing. This makes intuitive sense. If the student is learning and the assessments are accurate, we would expect to see the scores continually go up. The more scores that precede any given score, the more one can judge the accuracy of that score. In the previous series, the first score is 70. In judging its accuracy, we would have to treat it like an individual assessment—we wouldn’t have much confidence in its accuracy. But with the second score of 72, we now have two data points. Since we can reasonably assume that the student is learning, it makes sense that his or her score would increase. We now have more confidence in the score of 72 than we did with the single score of 70. By the time we have the fifth score of 81, we have amassed a good deal of antecedent information with which to judge its accuracy. Although we can’t say that 81 is precisely accurate, we can say the student’s true score is probably close to it. In subsequent chapters, we present techniques for specifying the accuracy of this final score of 81.

It’s important to note that some data patterns would indicate a lack of accuracy in the test scores. To illustrate, consider the following pattern of scores.

70, 76, 65, 82, 71

Assuming that the student who exhibited these scores is learning over time, the pattern doesn’t make much sense. The student began and ended the grading period with about the same score. In between, the
student exhibited some scores that were significantly higher and some scores that were significantly lower. This pattern implies that there was probably a great deal of error in the assessments. (Again, we discuss how to interpret such aberrant patterns in subsequent chapters.) This scenario illustrates the need for a new view of summative scores.

**The New View of Summative Scores**

The practice of examining the mounting evidence that multiple assessments provide is a veritable sea change in the way we think of summative assessments for individual students. More specifically, we have seen school leaders initiate policies in which they make a sharp distinction between formative assessments and summative assessments. Within these policies, educators consider formative assessments as practice only, and they do not record scores from these assessments. They consider summative tests as the “real” assessments, and the scores from them play a substantive role in a student’s final grade.

As the previous discussion illustrates, this makes little sense for at least two reasons. First, the single score educators derive from the summative assessment is not precise enough to support absolute decisions about individual students. Second, not recording formative scores is tantamount to ignoring all the historical assessment information that teachers can use to estimate a student’s current status. We take the position that educators should use the terms *formative* and *summative scores*, as opposed to *formative* and *summative assessments*, to meld the two types of assessments into a unified continuum.

Also, teachers should periodically estimate students’ *current summative scores* by examining the pattern of the antecedent scores. We describe this process in depth in chapter 5 (page 80). Briefly, though, consider the pattern of five scores we described previously: 70, 72, 75, 77, 81. A teacher could use this pattern to assign a current summative score without administering another assessment. The pattern clearly indicates steady growth for the student and makes the last score of 81 appear quite reasonable.

The process of estimating a summative score as opposed to relying only on the score from a single summative test works best if the teacher uses a scale that automatically communicates what students already know and what they still have to learn. A single score of 81 (or 77 or pretty much any score on a one hundred–point scale) doesn’t communicate much about a student’s knowledge of specific content. However, a score on a proficiency scale does and greatly increases the precision with which a teacher can estimate an individual student’s summative score.

**The Need for Proficiency Scales**

We discuss the nature and function of proficiency scales in depth in chapter 3. For now, figure I.4 provides an example of a proficiency scale.

<table>
<thead>
<tr>
<th>4.0</th>
<th>In addition to score 3.0 performance, the student will demonstrate in-depth inferences and applications that go beyond what was taught. For example, the student will:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>• Explain how distorted light impacts vision (for example, explain why a fish in clear water appears distorted due to light refraction)</td>
</tr>
</tbody>
</table>

| 3.5 | In addition to score 3.0 performance, partial success at score 4.0 content |

<table>
<thead>
<tr>
<th>3.0</th>
<th>The student will:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>• Explain how vision (sight) is a product of light reflecting off objects and entering the eye (for example, the student can describe how light reflects off an object, then travels through the eye to the retina, then how the information is processed by the brain to create an image)</td>
</tr>
</tbody>
</table>
No major errors or omissions regarding score 2.0 content and partial success at score 3.0 content

2.0 The student will recognize or recall specific vocabulary (for example, brain, cone, cornea, image, iris, lens, light, optic nerve, perpendicular angle, pupil, reflection, retina, rod, sight, dilate) and perform basic processes, such as:
- Describe physical changes that happen in the eye as a reaction to light (for example, the pupil dilates and contracts)
- Trace the movement of light as it moves from a source, reflects off an object, and enters the eye
- Diagram the human eye and label its parts (cornea, iris, pupil, lens, retina, optic nerve)
- Describe the function of rods and cones in the eye
- Recognize that the optic nerve carries information from both eyes to the brain, which processes the information to create an image

1.5 Partial success at score 2.0 content and major errors or omissions regarding score 3.0 content

1.0 With help, partial success at score 2.0 content and score 3.0 content

0.5 With help, partial success at score 2.0 content but not at score 3.0 content

0.0 Even with help, no success

Figure I.4: Sample proficiency scale for fourth-grade science.

Notice that the proficiency scale in figure I.4 has three levels of explicit content. It is easiest to understand the nature of a proficiency scale if we start with the content at the score 3.0 level. It reads, The student will explain how vision (sight) is a product of light reflecting off objects and entering the eye. This is the desired level of expertise for students. When students can demonstrate this level of competence, teachers consider them to be proficient.

Understanding the score 2.0 content is necessary to demonstrate competency on the score 3.0 content, which teachers will directly teach to students. In the proficiency scale, score 2.0 content reads, The student will recognize or recall specific vocabulary (for example, brain, cone, cornea, image, iris, lens, light, optic nerve, perpendicular angle, pupil, reflection, retina, rod, sight, dilate) and perform basic processes, such as:
- Describe physical changes that happen in the eye as a reaction to light (for example, the pupil dilates and contracts)
- Trace the movement of light as it moves from a source, reflects off an object, and enters the eye
- Diagram the human eye and label its parts (cornea, iris, pupil, lens, retina, optic nerve)
- Describe the function of rods and cones in the eye
- Recognize that the optic nerve carries information from both eyes to the brain, which processes the information to create an image

The score 4.0 content requires students to make inferences and applications that go above and beyond the score 3.0 content. In the proficiency scale, it reads, In addition to score 3.0 performance, the student will demonstrate in-depth inferences and applications that go beyond what was taught. For example, the student will explain how distorted light impacts vision (for example, explain why a fish in clear water appears distorted due to light refraction). The example provides one way in which the student might demonstrate score 4.0 performance.

The other scores in the scale do not contain new content but do represent different levels of understanding relative to the content. For example, score 1.0 means that with help, the student has partial understanding of some of the simpler details and processes and some of the more complex ideas and processes. And score 0.0 means that even with help, the student demonstrates no understanding or skill. The scale also contains
half-point scores, which signify achievement between two whole-point scores. Again, we address proficiency scales in depth in chapter 2 (page 25).

With a series of scores on a proficiency scale as opposed to a one hundred–point scale, a teacher can more accurately estimate a summative score using antecedent formative scores. This is because we can reference a score on a proficiency scale to a continuum of knowledge, regardless of the test format. A score of 3.0 on a test means that the student has demonstrated competence regardless of the type of test. This is not the case with the one hundred–point scale. For example, a teacher can only interpret a score of 85 in terms of levels of knowledge if he or she examines the items on the test. This characteristic of proficiency scales suits them well for examining trends in learning. To illustrate, consider the following pattern of proficiency scale scores for a student on a specific topic.

1.0, 2.0, 2.0, 3.0, 2.5

The first score of 1.0 indicates that in the beginning of the grading period, the student demonstrates little knowledge of the topic on his or her own but with help, should have some understanding of the score 2.0 and 3.0 content. By the time the next assessment occurs, the student seems to have a solid knowledge of the score 2.0 content, which carries on into the third assessment. Such content involves basic information the teacher directly teaches. The fourth assessment sees a big jump in understanding, indicating that the student knows the score 2.0 and 3.0 content. However, on the final assessment, the student score of 2.5 indicates a solid understanding of the score 2.0 content but only partial understanding of the score 3.0 content. Even though this student’s pattern does not show growth across every assessment, it still provides enough evidence for the teacher to assign a summative score of at least 2.5. Proficiency scales make the new paradigm for classroom assessments concrete and viable.

**This Book**

Chapter 1, “The Assessment-Friendly Curriculum,” provides evidence for the claim that virtually every state’s standards simply contain too much content to effectively assess, let alone teach. Consequently, classroom educators must identify the critical content within the standards to explicitly teach and measure in order to determine students’ current status as well as their growth. Chapter 2, “Proficiency Scales,” points out that it’s not enough to identify specific learning targets for students relative to each topic. To measure student growth, teachers must develop well-defined continua of knowledge for each topic. These continua form the basis for designing scales teachers can use to develop assessments and plan instruction. Chapter 3, “Parallel Assessments,” not only describes the defining characteristics of parallel assessments in detail but also provides specific guidelines about how to create such assessments. In addition, it describes how to score parallel assessments. Chapter 4, “The Measurement Process and Different Types of Assessments,” presents a way of viewing classroom assessment and scoring as a seamless and united endeavor that represents the new paradigm of classroom assessment. Chapter 5, “Summative Scores,” describes techniques that allow teachers to determine the level of precision they can assign to scores for individual students. Some of these techniques require the aid of technology, and some do not. Chapter 6, “Non-Subject-Specific Skills,” addresses subject areas such as cognitive skills and metacognitive skills. These skills are commonly mentioned in standards documents but do not fit into any one subject area. Chapter 7, “Record Keeping and Reporting,” addresses not only how teachers can efficiently keep records of scores from classroom assessments but also how to transform those scores into report cards that demonstrate each student’s status and growth.
Finally, note that this book does not address the technical and psychometric issues that accompany the recommendations that we make. For a thorough discussion of these matters, the reader should consult *Making Classroom Assessments Reliable and Valid* (Marzano, 2018).

If classroom assessments are to fulfill their bright promise, educators must recognize that large-scale assessment theory is not the appropriate tool for designing and administering teacher-designed assessments. Rather, educators must employ a new theory base specific to the classroom. This book presents that theory.
The starting place for a new assessment paradigm is a curriculum that provides teachers with clear guidance in terms of what they should assess and how they should assess it. At first, this might sound like a very simple undertaking. After all, don’t schools and districts already have standards that teachers are supposed to follow when designing assessments? While the answer to this question is yes, the standards themselves do not provide much assessment guidance. That is one of the primary messages of this chapter. In fact, national, provincial, state, and local standards as currently written actually muddy the waters in terms of classroom assessments. More pointedly, we believe that the standards movement has unwittingly hurt classroom assessment practices as much as it has helped.

In this chapter, we discuss the problem with standards and practices that render them inconsequential. We describe the limited assessment focus of standards and the need to create supplemental measurement topics.

**The Problem With Standards**

There are at least three reasons why standards do not provide classroom teachers with adequate guidance in using classroom assessments: (1) too much content, (2) redundancy, and (3) equivocal descriptions of content.

**Too Much Content**

To illustrate the problem of too much content, consider the following mathematics standard: “Understands the properties of operations with rational numbers (for example, distributive property, commutative and associative properties of addition and multiplication, inverse properties, identity properties)” (standard 3, grades 6–8; Mid-continent Research for Education and Learning [McREL], 2014a).

If we unpack the content in this standard, it becomes clear that it contains at least five elements. The student:

1. Understands the distributive property with rational numbers
2. Understands the commutative property of addition with rational numbers
3. Understands the commutative property of multiplication with rational numbers
4. Understands the inverse property of rational numbers
5. Understands the identity properties of rational numbers
While this standard is for mathematics, the same problem holds true for other subject areas. This is the

While this standard is for mathematics, the same problem holds true for other subject areas. This is the
crux of the problem that standards documents have created for classroom teachers who wish to design
highly focused classroom assessments. For example, consider the following middle school science standard.

MS-LS1–4. Use argument based on empirical evidence and scientific reasoning to support
an explanation for how characteristic animal behaviors and specialized plant structures
affect the probability of successful reproduction of animals and plants respectively. (NGSS
Lead States, 2013)

This standard appears relatively straightforward and fairly focused until we consider the clarification state-
ment that accompanies it:

Examples of behaviors that affect the probability of animal reproduction could include
nest building to protect young from cold, herding of animals to protect young from
predators, and vocalization of animals and colorful plumage to attract mates for breed-
ing. Examples of animal behaviors that affect the probability of plant reproduction could
include transferring pollen or seeds, and creating conditions for seed germination and
growth. Examples of plant structures could include bright flowers attracting butterflies
that transfer pollen, flower nectar and odors that attract insects that transfer pollen, and
hard shells on nuts that squirrels bury. (NGSS Lead States, 2013)

If we unpack the content in this standard and its clarification statement, a number of topics rise to the
surface. The student:

• Understands how to identify empirical evidence and how to use it in an argument
• Understands scientific reasoning and how to use it in an argument
• Understands examples of animal behaviors
• Understands how specific animal behaviors affect successful reproduction
• Uses empirical evidence and scientific reasoning to explain how and why specific animal
  behaviors affect successful reproduction
• Understands examples of plant behaviors
• Understands how specific plant behaviors affect successful reproduction
• Uses empirical evidence and scientific reasoning to explain how and why specific plant behaviors
  affect successful reproduction

In effect, standards documents typically embed so much content in a single statement that it would be
impossible to assess (or teach) all those topics in the amount of time available to teachers. To illustrate,
Robert J. Marzano, David C. Yanoski, Jan K. Hoegh, and Julia A. Simms (2013) identify seventy-three
standards statements (which they refer to as elements) for eighth-grade English language arts (ELA) within the
Common Core State Standards (CCSS; NGA & CCSSO, n.d.a, n.d.b, 2010a, 2010b, 2010c). If we assume
an average of five topics embedded in each element, which seems reasonable given the previous example,
then we can conclude that eighth-grade ELA teachers must assess and teach 365 topics in a single school year.

This problem is prevalent in every subject area. Figure 1.1 provides a few more examples of standards with
embedded topics.
<table>
<thead>
<tr>
<th>Subject</th>
<th>Standard and Topics</th>
</tr>
</thead>
</table>
| **Reading** | Standard 3, Grades 6–8  
Uses a variety of strategies to extend reading vocabulary  
1. Uses analogies, idioms, similes, and metaphors to infer the meaning of literal and figurative phrases  
2. Uses definition, restatement, example, comparison, and contrast to verify word meanings  
3. Identifies shades of meaning  
4. Knows denotative and connotative meanings  
5. Knows vocabulary related to different content areas and current events  
6. Uses rhyming dictionaries, classification books, and etymological dictionaries |
| **Writing** | Standard 3, K–2  
Uses strategies to draft and revise written work  
1. Rereads  
2. Rearranges words, sentences, and paragraphs to improve sequence or clarify meaning  
3.Varies sentence type  
4. Adds descriptive words and details  
5. Deletes extraneous information  
6. Incorporates suggestions from peers and teachers  
7. Sharpens the focus |
| **Social Studies** | History, Standard 3, Grades 3–4, K–4  
Knows the ways that families long ago expressed and transmitted their beliefs and values through oral tradition, literature, songs, art, religion, community celebrations, mementos, food, and language (for example, celebration of national holidays, religious observances, and ethnic and national traditions; visual arts and crafts; hymns, proverbs, and songs)  
1. Knows that families long ago expressed and transmitted their beliefs and values through oral tradition  
2. Knows that families long ago expressed and transmitted their beliefs and values through literature  
3. Knows that families long ago expressed and transmitted their beliefs and values through songs  
4. Knows that families long ago expressed and transmitted their beliefs and values through art, including visual arts and crafts  
5. Knows that families long ago expressed and transmitted their beliefs and values through religion and religious observances  
6. Knows that families long ago expressed and transmitted their beliefs and values through community celebration  
7. Knows that families long ago expressed and transmitted their beliefs and values through mementos  
8. Knows that families long ago expressed and transmitted their beliefs and values through food  
9. Knows that families long ago expressed and transmitted their beliefs and values through language  
10. Knows that families long ago expressed and transmitted their beliefs and values through celebration of national holidays  
11. Knows that families long ago expressed and transmitted their beliefs and values through ethnic and national traditions  
12. Knows that families long ago expressed and transmitted their beliefs and values through hymns  
13. Knows that families long ago expressed and transmitted their beliefs and values through proverbs  
14. Knows that families long ago expressed and transmitted their beliefs and values through songs |
Physical Education

Standard 3, Grades 3–6

Understands detrimental effects of physical activity (for example, muscle soreness, overuse injuries, over-training, temporary tiredness, and discovering inability)

1. Understands that physical activity can have detrimental effects
2. Understands that one detrimental effect of physical activity can be muscle soreness
3. Understands that one detrimental effect of physical activity can be overuse injuries
4. Understands that one detrimental effect of physical activity can be over-training
5. Understands that one detrimental effect of physical activity can be temporary tiredness
6. Understands that one detrimental effect of physical activity can be discovering inability

Arts

Standard 3, Grades 5–8

Understands how lighting and costuming can contribute to the meaning of a dance

1. Understands how lighting can contribute to the meaning of a dance
2. Understands how costuming can contribute to the meaning of a dance
3. Understands the various ways dance can communicate meaning


Redundancy

The second problem with standards is that they include a great amount of redundant content. This was one of the findings of Julia A. Simms (2016) in her analysis of the CCSS. To illustrate, consider the topic of “examining claims and evidence.” When examining the eighth-grade CCSS for ELA standards and benchmarks, Simms (2016) finds overlapping aspects of this in six different standards or benchmark statements. See figure 1.2, which depicts six unpacked ELA standards at the eighth-grade level: RI.8.8, W.8.1b, W.8.1a, W.8.1, SL.8.3, and SL.8.1d.

<table>
<thead>
<tr>
<th>Unpacked Component Part</th>
<th>Standard</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assess whether the evidence is relevant in an argument.</td>
<td>RI.8.8</td>
</tr>
<tr>
<td>Assess whether the evidence is sufficient in an argument.</td>
<td>RI.8.8</td>
</tr>
<tr>
<td>Assess whether the evidence is relevant in a specific claim.</td>
<td>RI.8.8</td>
</tr>
<tr>
<td>Assess whether the evidence is sufficient in a specific claim.</td>
<td>RI.8.8</td>
</tr>
<tr>
<td>Recognize when irrelevant evidence is introduced.</td>
<td>RI.8.8</td>
</tr>
<tr>
<td>Support claims with logical reasoning.</td>
<td>W.8.1b</td>
</tr>
<tr>
<td>Support claims with relevant evidence.</td>
<td>W.8.1b</td>
</tr>
<tr>
<td>Acknowledge the claim from alternative claims.</td>
<td>W.8.1a</td>
</tr>
<tr>
<td>Acknowledge the claim from opposing claims.</td>
<td>W.8.1a</td>
</tr>
<tr>
<td>Distinguish the claim from alternate claims.</td>
<td>W.8.1a</td>
</tr>
<tr>
<td>Distinguish the claim from opposing claims.</td>
<td>W.8.1a</td>
</tr>
<tr>
<td>Write arguments to support claims with clear reasons.</td>
<td>W.8.1</td>
</tr>
<tr>
<td>Write arguments to support claims with relevant evidence.</td>
<td>W.8.1</td>
</tr>
<tr>
<td>Evaluate the relevance of the evidence for a speaker’s arguments.</td>
<td>W.8.1</td>
</tr>
<tr>
<td>Unpacked Component Part</td>
<td>Standard</td>
</tr>
<tr>
<td>----------------------------------------------------------------------------------------</td>
<td>------------</td>
</tr>
<tr>
<td>Evaluate the sufficiency of the evidence for a speaker’s argument.</td>
<td>SL.8.3</td>
</tr>
<tr>
<td>Identify when relevant evidence is introduced in a speaker’s argument.</td>
<td></td>
</tr>
<tr>
<td>Evaluate the relevance of the evidence in a speaker’s specific claims.</td>
<td></td>
</tr>
<tr>
<td>Evaluate the sufficiency of the evidence for a speaker’s specific claims.</td>
<td></td>
</tr>
<tr>
<td>Identify when irrelevant evidence is introduced in a speaker’s specific claims.</td>
<td></td>
</tr>
<tr>
<td>Acknowledge new information expressed by others.</td>
<td>SL.8.1d</td>
</tr>
<tr>
<td>When warranted, justify their own views in light of the evidence.</td>
<td></td>
</tr>
<tr>
<td>When warranted, qualify their own views in light of the evidence presented by others.</td>
<td></td>
</tr>
</tbody>
</table>


**Figure 1.2: Overlapping components in ELA standards at the eighth-grade level.**

When unpacked, the Common Core standard RI.8.8 has five statements, standard W.8.1b has two statements, and so on. In all, there are twenty-two statements embedded in six standards. Even though these statements employ different phrasing, they pretty much all deal with claims, evidence, and reasoning. While the problem of redundancy might seem to mitigate the problem of too much content, it still adds to the teacher’s workload by requiring him or her to analyze standards in the manner that Simms (2016) exemplifies.

**Equivocal Descriptions of Content**

The final problem with current standards statements is that many of them are highly equivocal—they are open to a number of possible interpretations. To illustrate, consider the following standard from grade 4 mathematics:

Solve multistep word problems with whole numbers and have whole number answers using the four operations (addition, subtraction, multiplication and division) including division word problems in which remainders must be interpreted. (4.0A.A.3, NGA & CCSSO, 2010b)

While it is clear that the standard’s overall focus is multistep problems with whole numbers and whole-number answers, such problems are very different across the operations for addition, subtraction, multiplication, and division. Consider the following four problems that would appear to fulfill this standard.

1. **Addition problem:** James wants to paint racing stripes around his room. His room is 11 feet long and 10 feet wide. If James paints a stripe to go around the top and bottom of his room, how many linear feet of racing stripes will he need?

   We might represent the reasoning involved in this problem in the following way.
   - $10 + 10 + 11 + 11 = 42$ feet
   - Top of room = 42 feet
   - Bottom of room = 42 feet
   - $42 + 42 = 84$ feet

   James will need to paint 84 feet of racing stripes.

2. **Subtraction problem:** Kelly works at her family’s pet store. She put 272 bags of dog food on the shelf. Last week, customers bought 117 bags. How many bags were left? Her parents also need to place an order to buy more dog food when they reach 50 bags. How many more bags can they sell before they need to place a new order?
We might represent the reasoning involved in this problem in the following way.

- $272 - 117 = 155$ bags remaining
- $155 - 50 = ?$
- $155 - 50 = 105$

The family can sell 105 bags before they need to reorder.

3. **Multiplication problem:** Aidan collects baseball cards. His collection currently includes 48 players. His brother has 4 times as many cards, and his friend has 3 times as many. How many cards do Aidan’s brother and friend have in all?

We might represent the reasoning involved in this problem in the following way.

- $48 \times 4 = \text{brother}$
- $48 \times 3 = \text{friend}$
- Brother = 192 cards
- Friend = 144 cards
- $192 + 144 = 336$ cards

Aidan’s brother and friend have 336 cards in all.

4. **Division problem:** Libby collects concert shirts. Each shirt costs $12. If Libby has $120, how many concert shirts can she buy? If Libby saved $9 per month, how long would it take her to save enough money to buy that many shirts?

We might represent the reasoning involved in this problem in the following way.

- $120 \div 12 = 10$ shirts
- Libby can buy 10 shirts with $120.
- $120 \div 9 = 13.33$

It would take Libby thirteen months and about ten days to save enough money to buy ten shirts.

Clearly the steps in reasoning necessary to solve these problems have some significant differences from type to type. The steps to solving the addition problem are straightforward. Students find the perimeter by adding the length of the sides and then doubling that quantity to account for the two stripes.

The steps to the subtraction problem are more involved. Students first determine the remaining number of bags after 117 are sold. This is quite simple. Students then compute the difference between the remaining number of bags and the threshold number of 50. Although this step involves subtraction, as did the first step, it has a totally new perspective.

The multiplication problem begins with students multiplying two numbers—one for the brother and one for the friend. The next step involves addition.

The division problem involves the most complex set of steps. It begins with a straightforward division task—the total amount of money available divided by the cost of each shirt. The problem then shifts contexts. Students must take the total amount of money available and divide it by an amount of money that Libby can save each month. However, this step also involves dealing with a remainder, which adds complexity.

Looking at the problem types, the subtraction problem is the only one of the four that requires students to perform an operation on a quantity that the directions to the problem do not explicitly state. The division problem is the only one that involves a remainder. One can also make the case that each of the four problems makes some unique cognitive demands simply to understand it.

The reason this standard is equivocal, then, is that it does not make clear how important the four operations are to demonstrating proficiency. It does not make clear how important remainders are to demonstrating
proficiency, and it seems to treat four operations equally, although they have significant differences in their execution.

As another example of equivocality in standards, consider the following high school ELA standard and the upper elementary civics standards.

**ELA (high school):** Analyze multiple interpretations of a story, drama, or poem (e.g., recorded or live production of a play or recorded novel or poetry), evaluating how each version interprets the source text (RL.11–12.7; NGA & CCSSO, 2010a)

**Civics (grades 3–5):** Identify the major duties, powers, privileges, and limitations of a position of leadership (e.g., class president, mayor, state senator, tribal chairperson, president of the United States) . . . evaluate the strengths and weaknesses of candidates in terms of the qualifications of a particular leadership role. (section H, standard 1; Center for Civic Education, 2014)

In both of these examples, assessments would be quite different depending on a teacher’s selection of available options. For example, in the ELA standard, comparing the treatment of the same content in a story and a poem is a quite different task from comparing a story and a play. In the civics standard, knowing the duties, powers, and privileges of a class president is a quite different task from knowing the duties of a state senator.

**Standards as Inconsequential**

We have observed two practices that appear to address the problems associated with standards but, in fact, render standards inconsequential: (1) tagging multiple standards and (2) relying on sampling across standards.

**Tagging Multiple Standards**

One common practice is for teachers to assess standards by simply tagging multiple standards in the tests they give. For example, assume that a teacher has created the following assessment in a seventh-grade ELA class.

*We have been reading* Roll of Thunder, Hear My Cry by Mildred D. Taylor, which tells the story of Cassie Logan and her family who live in rural Mississippi. In the novel, Taylor develops several themes. Describe how the author develops the theme of the importance of family through characters, setting, and plot. Compare the importance of family theme with one other theme from the book. Write a short essay that explains which of the two themes you think is the most important to the development of the novel. Justify your choice with logical reasoning and provide textual evidence.

Because the teacher must cover all the seventh-grade ELA standards, he or she simply identifies all those standards directly or tangentially associated with this assessment. For example, assume that a teacher has created the following assessment in a seventh-grade ELA class.

RL.7.1
Cite several pieces of textual evidence to support analysis of what the text says explicitly as well as inferences drawn from the text.

RL.7.2
Determine a theme or central idea of a text and analyze its development over the course of the text; provide an objective summary of the text.

RL.7.10
By the end of the year, read and comprehend literature, including stories, dramas, and poems, in the grades 6–8 text complexity band proficiently, with scaffolding as needed at the high end of the range.
WHST.6–8.1.B
Support claim(s) with logical reasoning and relevant, accurate data and evidence that demonstrate an understanding of the topic or text, using credible sources.

WHST.6–8.10
Write routinely over extended time frames (time for reflection and revision) and shorter time frames (a single sitting or a day or two) for a range of discipline-specific tasks, purposes, and audiences. (NGA & CCSSO, 2010a)

In effect, then, the teacher uses the score on one test to represent a student’s standing on five separate standards. Such an approach gives the perception that teachers are addressing standards but in reality, it constitutes a record-keeping convention that wastes teachers’ time and renders the standards inconsequential. In fact, we believe that this approach is actually the antithesis of using standards meaningfully.

Relying on Sampling Across Standards
At first glance, it might appear that designing assessments that sample content from multiple standards solves the problem of too much content. If a teacher has seventy-three standards statements to cover in a year, he or she can design assessments that include items from multiple statements. One assessment might have items from three or more statements. If a teacher systematically samples across the standards in such a way to equally emphasize all topics, then in the aggregate, the test scores for a particular student should paint an accurate picture of the student’s standing within the subject area. This is different from and better than tagging because the teacher designs assessments by starting with the standards. With tagging, the teacher designs assessments and then looks for standards that appear to be related.

Even though sampling has an intuitive logic to it, it still doesn’t work well with classroom assessments. Indeed, sampling was designed for large-scale assessments, but even there it doesn’t work very well. To illustrate, consider the following example:

You are tasked with creating a test of science knowledge and skills for grade 5 students. The school will report test results at both the individual and school levels to help students, parents, teachers, and leaders understand how well students are learning the curriculum. The test must address a variety of topics such as X, Y, Z and, in order to effectively assess their knowledge, many of the items require students to construct and justify responses. Some of the items are multiple choice.

Pilot testing of items indicates that students require about 10 minutes to complete a constructed response item and about two minutes to complete a multiple-choice item. Your team has created 32 constructed response items and 16 multiple choice items that you feel cover all topics in the grade 5 science curriculum. Based on your estimates of how much time a student needs to complete items, the test will require approximately 6 hours to complete, not including time for set up and instructions, and breaks. And that’s just one content area test. (Childs & Jaciw, 2003, p. 8)

We can infer from the comments of Ruth A. Childs and Andrew P. Jaciw (2003) that adequate sampling, even for three topics, requires a very long assessment. As a side note, Childs and Jaciw (2003) imply that fifth-grade science involves three topics only (for example, X, Y, and Z). In fact, Simms (2016) has determined that fifth-grade science involves at least twelve topics, four times the amount of content that Childs and Jaciw’s (2003) example implies.

Finally, even with a relatively slim version of the content involved in fifth-grade science (three topics as opposed to twelve), and a test that requires six hours to complete, the sampling process might not be robust enough to justify reporting scores for individual students. Childs and Jaciw (2003) describe the following concern for any test that purports to provide accurate scores for individual students:

SAMPLE CONTENT - NOT FOR DISTRIBUTION
Whether there is enough information at the student level to report subscores may be a concern. For example, research by Gao, Shavelson, and Baxter (1994) suggests that each student must answer at least nine or ten performance tasks to avoid very large effects of person-by-item interactions. To produce reliable subscores, even more items may have to be administered. Given that there are limits in test administration time, it may not be feasible to administer enough items to support student-level subscores. Instead, only overall scores might be reported at the student level, while both overall scores and subscores are reported at the school level. (p. 8)

Despite these clear flaws in sampling procedures as the basis for test design, educators do it all the time. Everyone in the system (students, teachers, leaders, parents) relies on the resulting information to make important decisions that influence student grades, placement in classes and coursework, and advancement to the next grade or course.

As we mention in the introduction, using proficiency scales solves a variety of assessment problems, sampling being one of those. In a system that uses proficiency scales as a measurement tool, one might lose the ability to generalize across a content area using a single test but gain immense clarity in particular slices of the target domain (for example, fifth-grade science).

### The Assessment Focus of Standards

Clearly, it is the case that standards statements as currently written are not effective vehicles to drive classroom assessment. We recommend that educators rewrite standards statements so they provide a clear and unequivocal focus for classroom assessments. We call these rewritten standards statements **focus statements**.

Focus statements translate into **measurement topics**. As the name implies, these measurement topics are considered important enough to assess multiple times at the school level or district level in an effort to determine the most accurate scores for individual students. To illustrate, we present figure 1.3.

<table>
<thead>
<tr>
<th>Subject</th>
<th>Standard</th>
<th>Focus Statement</th>
<th>Measurement Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Mathematics</strong></td>
<td>Understands the basic concept of a sample (for example, a large sample leads to more reliable information; a small part of something may have unique characteristics but not be an accurate representation of the whole)</td>
<td>Knows characteristics of a sample</td>
<td>Samples</td>
</tr>
<tr>
<td>(Standard 6, Grades 3–5)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Language Arts</strong></td>
<td>Uses reading strategies and skills to understand a variety of information texts (for example, textbooks, biographical sketches, letters, diaries, directions, procedures, magazines, essays, primary sources)</td>
<td>Executes specific reading strategies and skills</td>
<td>Reading strategies</td>
</tr>
<tr>
<td>(Standard 7, Grades 9–12)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Science</strong></td>
<td>Understands that the Sun supplies heat and light to Earth</td>
<td>Knows the relationship between the Sun and the Earth</td>
<td>The Sun and the Earth</td>
</tr>
<tr>
<td>(Standard 9, Grades K–2)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>U.S. History</strong></td>
<td>Understands the circumstances that shaped the Civil War and its outcome (for example, differences between the economic, technological, and human resources of both sides; impact of the Emancipation Proclamation on the outcome of the war)</td>
<td>Knows events that helped cause the Civil War and the outcome of that war</td>
<td>Causes and outcomes of the Civil War</td>
</tr>
<tr>
<td>(Standard 14, Grades 7–8)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Figure 1.3: Standards with focus statements.**
<table>
<thead>
<tr>
<th>Subject</th>
<th>Standard</th>
<th>Focus Statement</th>
<th>Measurement Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Physical Education</td>
<td>Uses control in travel activities on a variety of body parts (for example, travels in backward directions and changes directions quickly and safely, without falling; changes speeds and directions in response to various rhythms; combines traveling patterns to music)</td>
<td>Executes control of various body parts while engaging in different bodily activities</td>
<td>Body control</td>
</tr>
<tr>
<td>Arts—Dance</td>
<td>Executes technical dance skills (for example, alignment, coordination, balance, core support, kinesthetic awareness, clarity of movement) to accurately perform a variety of movements (for example, changes of direction, levels, facings, pathways, elevations and landings, extensions of limbs, and movement transitions) (National Core Arts Standards, State Education Agency Directors of Arts Education, 2014)</td>
<td>Executes technical dance skills to perform various movements</td>
<td>Dance movements</td>
</tr>
<tr>
<td>Civics</td>
<td>Knows the major ideas of republicanism (for example, government of a republic seeks the public or common good rather than the good of a particular group or class of society; civic virtue of citizens is essential, which means citizens put the public or common good above their private interests)</td>
<td>Knows characteristics of republicanism</td>
<td>Republicanism</td>
</tr>
<tr>
<td>Economics</td>
<td>Understands that most federal tax revenue comes from personal income and payroll taxes, and these taxes are used to fund social security payments, the costs of national defense, medical expenditures, and interest payments on the national debt</td>
<td>Knows the source and use of federal taxes</td>
<td>Federal taxes</td>
</tr>
<tr>
<td>Geography</td>
<td>Understands how physical processes help to shape features and patterns on Earth’s surface (for example, the effects of climate and weather on vegetation; erosion and deposition on land forms; mud slides on hills) Understands how physical processes (for example, the drainage basin of a river system, the ridge-and-valley pattern of the Appalachians; vegetation on the windward and leeward sides of a mountain range) produce patterns on the landscape</td>
<td>Knows specific types of landscape patterns</td>
<td>Landscape patterns</td>
</tr>
<tr>
<td>Health</td>
<td>Sets a personal health goal and makes progress toward its achievement</td>
<td>Executes the process of setting goals regarding personal health</td>
<td>Personal health goals</td>
</tr>
<tr>
<td>Technology</td>
<td>Uses proper keyboard techniques, beginning from the home row and maintaining proper posture</td>
<td>Executes the process of keyboarding</td>
<td>Keyboarding</td>
</tr>
<tr>
<td>Foreign Language</td>
<td>Uses the target language and its idioms to demonstrate appropriate cultural responses (for example, expressing gratefulness; extending and receiving invitations; apologizing; communicating preferences; making an appointment; closing a conversation; negotiating solutions to problems)</td>
<td>Executes the process of using idioms and language to demonstrate appropriate cultural responses</td>
<td>Using idioms</td>
</tr>
</tbody>
</table>

Source for standards: Adapted from McREL, 2014a.
The focus statements in figure 1.3 contain the essence of the content in the full standards statement with enough detail to provide guidance for assessment, but not so much as to add unnecessary complexity. As we demonstrate in chapter 2, proficiency scales add even more detail, but focus statements are a useful step in the process of identifying critical content. As we indicate in the last column of figure 1.3, once educators articulate focus statements, it is easy to translate them into measurement topics.

The wording of the focus statements in figure 1.3 highlights the type of knowledge they represent. Those that begin with the word *knows* or *understands* are examples of declarative knowledge. Those that begin with the word *executes* are examples of procedural knowledge. It is important to note that we did this to make a point—namely, that the content embedded in standards statements comes in two different forms—declarative and procedural knowledge. We believe this distinction is critical simply because assessments should reflect the type of knowledge on which they focus. We describe how to do this in chapter 3 (page 43).

For now, suffice it to say that different subject areas have differing proportions of declarative and procedural knowledge. To illustrate, we consider a McREL (2014b) study in which researchers analyze the standards in fourteen different subject areas and determine the distributions of declarative and procedural knowledge in those subject areas. They updated their analysis in 2008, producing the results we depict in table 1.1.

**Table 1.1: Standards Relating to Percentages of Declarative Versus Procedural Knowledge**

<table>
<thead>
<tr>
<th>Subject</th>
<th>Declarative</th>
<th>Procedural</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mathematics</td>
<td>139</td>
<td>84</td>
</tr>
<tr>
<td>ELA</td>
<td>86</td>
<td>254</td>
</tr>
<tr>
<td>Science</td>
<td>253</td>
<td>8</td>
</tr>
<tr>
<td>History</td>
<td>1,240</td>
<td>41</td>
</tr>
<tr>
<td>Geography</td>
<td>230</td>
<td>8</td>
</tr>
<tr>
<td>Arts</td>
<td>147</td>
<td>122</td>
</tr>
<tr>
<td>Civics</td>
<td>426</td>
<td>1</td>
</tr>
<tr>
<td>Economics</td>
<td>159</td>
<td>0</td>
</tr>
<tr>
<td>Foreign Language</td>
<td>52</td>
<td>56</td>
</tr>
<tr>
<td>Health</td>
<td>121</td>
<td>15</td>
</tr>
<tr>
<td>Physical Education</td>
<td>47</td>
<td>58</td>
</tr>
<tr>
<td>Behavioral Studies</td>
<td>100</td>
<td>0</td>
</tr>
<tr>
<td>Technology</td>
<td>106</td>
<td>38</td>
</tr>
<tr>
<td>Life Skills</td>
<td>67</td>
<td>241</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>3,173 (77.41 percent)</strong></td>
<td><strong>926 (22.59 percent)</strong></td>
</tr>
</tbody>
</table>

*Note: Procedural and contextual have been combined.*

*Source: McREL, 2014b.*

Notice that, in general, there are far more declarative standards than procedural standards. More specifically, 77 percent of the standards in this study involve declarative content, and 23 percent involve procedural content. That noted, there is some significant variation from subject area to subject area. For example, physical education, life skills, arts, and foreign language are about equal in terms of their distribution of declarative and procedural content, whereas behavioral studies and economics have no procedural content.
A Small List of Measurement Topics

Ultimately, the purpose of analyzing and restating standards is to identify a relatively small set of measurement topics as the subject of classroom assessment and instruction. This list constitutes the assessment-friendly curriculum that is essential for a new paradigm of classroom assessment.

Developing the assessment-friendly curriculum is somewhat of a value-driven decision, but research guidance does exist. Specifically, Simms (2016) finds that if one removes the redundancy in standards and considers only those that national assessments typically contain, then the list of essential measurement topics is quite small. Table 1.2 reports the number of essential topics in mathematics, science, and ELA.

Table 1.2: Essential Topics in Mathematics, Science, and ELA

<table>
<thead>
<tr>
<th>Subject</th>
<th>K</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
<th>11</th>
<th>12</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mathematics</td>
<td>10</td>
<td>9</td>
<td>14</td>
<td>14</td>
<td>15</td>
<td>14</td>
<td>16</td>
<td>15</td>
<td>16</td>
<td>64</td>
<td>187</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Science</td>
<td>9</td>
<td>11</td>
<td>11</td>
<td>13</td>
<td>15</td>
<td>10</td>
<td>31</td>
<td>36</td>
<td>136</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ELA</td>
<td>18</td>
<td>20</td>
<td>19</td>
<td>19</td>
<td>18</td>
<td>15</td>
<td>15</td>
<td>14</td>
<td>15</td>
<td>14</td>
<td>14</td>
<td>181</td>
<td></td>
</tr>
</tbody>
</table>

Source: Simms, 2016.

The list of essential measurement topics is available in The Critical Concepts (Simms, 2016). Educators can use this list as a starting place as they translate their local or state standards into measurement topics. As we indicate in table 1.2, there are a relatively small number of measurement topics at each grade level or grade-level span. For example, consider fifth grade. There are fourteen essential measurement topics in mathematics, ten in science, and fifteen in ELA. Contrast this with the seventy-three topics from the Common Core State Standards for ELA at the eighth-grade level, which we discussed previously.

Narrowing down all the content in state and national standards into a small set means that the measurement topics will not include all content. What, then, do we do with all this leftover content? There are two basic approaches to answering this question: (1) relying on incidental learning and (2) creating a supplemental measurement topic.

Relying on Incidental Learning

Incidental learning is a largely untapped resource that teachers can leverage to enhance content coverage in a classroom that focuses on standards. To understand how this works, assume that a teacher was working with the following ten measurement topics in fourth-grade science.

1. Energy
2. Motion
3. Light and vision
4. Waves
5. Geographic features
6. Earth changes
7. Natural hazards
8. Natural resources
9. Plant needs
10. Animal needs

As we have discussed, national, provincial, state, and local standards documents would surely contain many other topics like Earth’s history, human impacts on resource use, and scientific contributions throughout history. Even though the measurement topics do not specifically include these topics, the teacher might integrate the content into instruction formally or informally. Formally means that the teacher actually plans for direct instruction in the supplemental content. Informally means that the teacher does not plan for direct instruction in the content but addresses it if it comes up naturally during class. For example, while discussing
the topic of different methods of energy production, which is part of the measurement topic energy, the teacher might remember that the supplemental topic of human impact on resource use also applies to the example he or she is providing. This approach inserts additional content into the instructional process but doesn't necessarily assess that content.

Relying on incidental learning mitigates the common misconception that if a teacher doesn't test on it, then students don't learn it. While it is true that students stand a better chance of remembering content they've taken tests on, it is also true that brief exposure to content gives them a good chance of remembering it. Some educators refer to this as fast mapping (Carey, 1978).

Creating a Supplemental Measurement Topic

In this approach, teachers formally teach and assess supplemental content. Specifically, they assign all the content they don't find in a specific measurement topic to a supplemental measurement topic for assessment purposes. We discuss the specifics of how to assess and report a supplemental measurement topic in chapter 4 (page 61). Briefly, though, assume that a school or district has identified twenty measurement topics for an entire year in seventh-grade social studies. During the first grading period, a teacher addresses six of these official measurement topics. This means that every student has a final score on each of these topics, and these scores come from assessments that the teacher specifically designed for these topics. However, a particular teacher also covers three social studies topics that the list of measurement topics did not include. The teacher assigns a score to students on each one of these supplemental topics, but for reporting purposes, the teacher combines the scores on these three topics into one. Therefore, at the end of the grading period, each student would have a score on each of the six official measurement topics and one score that represents all the supplemental topics the class covered during the grading period.

The New Art and Science of Classroom Assessment in Practice

As the title of this book implies, effective classroom assessment is both an art and a science. There are some things educators should do and other practices they should avoid. In each chapter, we address both as a summary of the important content in the chapter. The focus of this chapter was the assessment-friendly curriculum. Guidelines for teachers include the following.

- Do not try to teach and assess all standards in your state or local documents.
- Identify a relatively small set of topics (for example, about ten to twenty-five) by restating standards to highlight their assessment focus.
- Create a name for each selected focus statement and refer to these names as measurement topics.
- Rely on incidental learning or a supplemental measurement topic for all the content you do not select for a measurement topic.